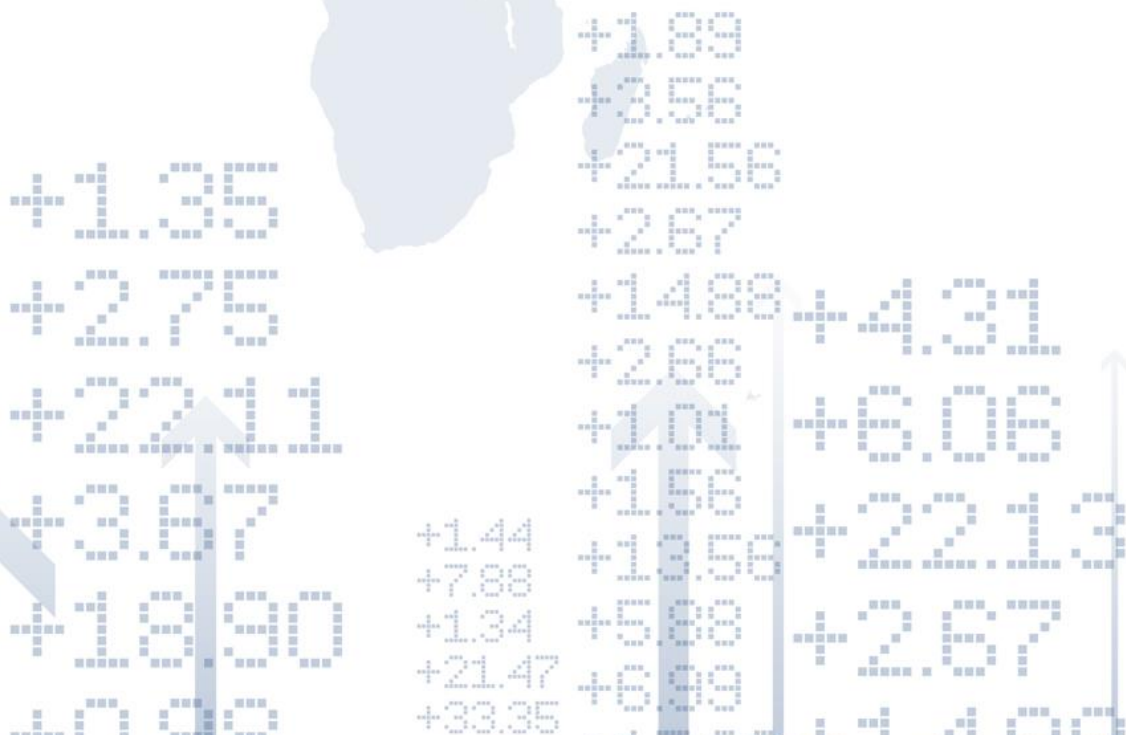


Plus500

World's Trading Machine



Summary Conflicts of Interest Policy





Summary

Conflicts of Interest Policy

1. Introduction

- 1.1. This is a summary of the policy that we maintain in order to identify and manage conflicts of interest in respect of the duties we owe to our clients.

2. Our Policy

- 2.1. We aim to establish and maintain and operate effective organisational and administrative arrangements with a view to taking all reasonable steps to prevent conflicts of interest from constituting or giving rise to a material risk of damage to the interests of our clients.
- 2.2. We endeavour to maintain a record of the types of activity we carry on in which a material conflict could arise or has arisen, and, in doing so, we take account of the activities of other members of our group of companies.
- 2.3. We also maintain procedures to manage actual or potential conflicts, as outlined in Sections 3 and 4 of this Policy.

3. Types of Conflicts

- 3.1. A conflict of interest may arise when we (Plus500UK Ltd.), or one of our employees, in the course of providing a client with a service as part of our business:
 - i. are likely to make a financial gain, or avoid a financial loss, at the expense of the client;
 - ii. have an interest in the outcome of the service or of a transaction carried out on behalf of the client, which is distinct from the client's interest in that outcome;
 - iii. have a financial (or other) incentive to favour the interest of one client, or group of clients, over the interests of another client;
 - iv. carry on the same business as the client; or
 - v. receive, or will receive, from a person other than the client an inducement in relation to a service provided to the client, in the form of monies, goods or services, other than the standard commission or fee for that service.



5. Disclosing Conflicts

- 5.1. Where our arrangements to manage conflicts are not or cannot be sufficient to be reasonably confident that risks of damage to a client's interest will be prevented, we aim to disclose the general nature and/or sources of conflicts before carrying on business for the client.
- 5.2. The disclosure would be to allow the client to consider whether to ask for more information and whether to continue with the service; we do not aim to provide detailed, highly specific or comprehensive information.
- 5.3. Where we consider that there is no means to manage a particular conflict, we may decline to act for the client(s) in question.

6. When and How to contact us

- 6.1 If you have any queries about this Summary Conflicts of Interest Policy, please notify us by contacting us at <https://www.plus500.com/Help/ContactUs>.

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